

# IRA Designation of Beneficiary

## STEP 1. PARTICIPANT INFORMATION

Name		
Social Security or Tax ID Number		Date of Birth
Street Address		
City	State	Zip/Postal Code
Account Number	Telephone	

**Marital Status**  Single  Married  Divorced  Domestic Partner  Widowed

**SPOUSAL CONSENT  
MAY BE REQUIRED.  
SEE BELOW.**

## STEP 2. BENEFICIARY INFORMATION

**Designation of Beneficiary**

I hereby make the following beneficiary designation(s) below pursuant to the retirement account indicated above.

**Change of Beneficiary**

I hereby revoke all prior beneficiary designations and designate the following beneficiary(ies) for my account.

**The following shall be my Beneficiary or Beneficiaries of this IRA.** If I designate more than one primary or contingent Beneficiary, but do not specify the percentages to which such Beneficiary or Beneficiaries are entitled, payment will be made to the surviving Beneficiary or Beneficiaries in equal shares.

Pershing considers the following as a standard beneficiary request:

- Name of an Individual(s)
- Name of Group(s) (e.g. charity)
- Specifically dated Trust (s), subject to proper qualification
- Estate (FYI — Pershing will require a Court Order and instructions from the Executor for the proper distribution of the assets.)

**All other beneficiary requests will be considered a customized beneficiary request, subject to Pershing's acceptance policy. Each custom request must use the Pershing Designation of Customized Beneficiary form or applicability indemnity language. Please speak with your Financial Advisor for more details.**

### Primary Beneficiaries

Primary Beneficiary 1 Name		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

Primary Beneficiary 2 Name		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

**FOR SPECIFIC  
BENEFICIARY  
PROVISIONS,  
PLEASE REFER TO  
THE APPLICABLE  
SECTIONS OF THE  
PLAN DOCUMENT  
AND THE DISCLOSURE  
STATEMENT.**

**THE TOTAL ALLOCATION  
OF ALL PRIMARY  
BENEFICIARIES MUST  
EQUAL 100%.**

**TO DESIGNATE YOUR  
ESTATE AS YOUR  
BENEFICIARY, WRITE  
IN "ESTATE" IN THE  
PRIMARY BENEFICIARY  
SECTION. "PER WILL"  
DESIGNATIONS ARE  
NOT ACCEPTABLE  
DESIGNATIONS.**

**IF A BENEFICIARY(IES)  
PREDECEASES YOU  
AND PER STIRPES  
WAS NOT ELECTED,  
PLEASE REFER TO THE  
PLAN DOCUMENT ON  
RULES REGARDING  
DISTRIBUTION OF  
ASSETS.**

**PLEASE CONSULT WITH  
YOUR LEGAL ADVISOR  
BEFORE ELECTING  
THE PER STIRPES  
DESIGNATION.**



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# IRA Designation of Beneficiary

Account Number \_\_\_\_\_

<b>Primary Beneficiary 3 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 4 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 5 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 6 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 7 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 8 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 9 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

<b>Primary Beneficiary 10 Name</b>		Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth	Telephone
Address			<input type="checkbox"/> Per Stirpes

## Contingent Beneficiaries

Contingent Beneficiary 1 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 2 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 3 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 4 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 5 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 6 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 7 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

Contingent Beneficiary 8 Name			Gender <input type="checkbox"/> M <input type="checkbox"/> F	Social Security or Tax ID Number
Percentage	Relationship	Date of Birth		Telephone
Address				<input type="checkbox"/> Per Stirpes

**THE TOTAL ALLOCATION OF ALL CONTINGENT BENEFICIARIES MUST EQUAL 100%.**

**CONTINGENT BENEFICIARIES WILL BE PAID ONLY IF ALL PRIMARY BENEFICIARIES (AND THEIR CHILDREN IF PER STIRPES IS SELECTED) DO NOT SURVIVE THE PARTICIPANT.**

# IRA Designation of Beneficiary

Account Number \_\_\_\_\_

Contingent Beneficiary 9 Name		Gender <input type="checkbox"/> M <input type="checkbox"/> F		Social Security or Tax ID Number	
Percentage	Relationship	Date of Birth		Telephone	
Address				<input type="checkbox"/> Per Stirpes	

Contingent Beneficiary 10 Name		Gender <input type="checkbox"/> M <input type="checkbox"/> F		Social Security or Tax ID Number	
Percentage	Relationship	Date of Birth		Telephone	
Address				<input type="checkbox"/> Per Stirpes	

### Per Stirpes Information

If your beneficiary designation is per stirpes, you understand that if your beneficiary(ies) dies before you, the beneficiary's share of the IRA will pass to his or her respective children. In the field below, please provide the name of the individual responsible for advising Pershing LLC on any questions relating to the per stirpes distribution of the IRA.

Name of Responsible Individual
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You understand that the per stirpes instructions given to Pershing LLC by the responsible individual named above shall be binding on all beneficiaries of this IRA and of your estate and may be relied on by Pershing LLC. Pershing LLC shall not be liable for any payment made at the direction of this individual. If you do not name a responsible individual or the individual you named is unwilling or unable to advise Pershing on questions regarding the per stirpes distribution, then you understand that Pershing will rely on instructions from the executor of your estate regarding any per stirpes designation.

## STEP 3. SIGNATURE AND SPOUSAL CONSENT

### Participant Signature

Print Name	Date
Signature	

### Spousal Consent (required in community property or marital property states)

If you are married, reside in a community property or marital property state, and designate someone other than your spouse as your sole, primary beneficiary, your spouse must sign this form below. In addition, if required in your state, the form must be signed in the presence of a Notary Public. I am the spouse of the above-named account holder. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. Due to the important financial and tax consequences of giving up my interest in this IRA, SEP, or SIMPLE IRA, I have been advised to see a tax professional. I hereby give the account holder any interest I have in the funds or property deposited in this IRA, SEP, or SIMPLE IRA and consent to the beneficiary designation(s) indicated above. I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by the custodian.

COMMUNITY OR MARITAL PROPERTY STATES INCLUDE AZ, CA, ID, LA, NV, NM, TX, WA, WI.

Spouse Printed Name	Date
Signature	